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GROUP 1600 Docket No. 018547-019420 US

PTO FAX NO.:

703-872-9306

ATTENTION:

Examiner S.W. Houtteman

Group Art Unit 1555

OFFICIAL COMMUNICATION

FOR THE PERSONAL ATTENTION OF

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CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of DAVID J. LOCKHART et al., Application No. 09/880,727, filed June 13, 2001 for NUCLEIC ACID ANALYSIS TECHNIQUES is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

- 1. Transmittal form (1 p.);
- 2. Request for corrected filing receipt (2 pp.); and
- 3. Marked-up copy of filing receipt to show correction (2 pp.).

Number of pages being transmitted, including this page: 6

Dated: January 23, 2002

Paula Faulk Hurley

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PTO/SB/21 (08-(P) Approved for use through 10/31/2002, OMB 0851-00 Please type a plus sign (+) inside this box --> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/880.727 Application Number TRANSMITTAL June 13, 2001 Filing Date **FORM** Lockhardt, David J. First Named Inventor 1655 (to be used for all correspondence after initial filing) Group Art Unit 8.W. Houtteman **Examiner Name** 018547-019420US Attorney Docket Number Total Number of Pages in This Submission ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form **Group** (for an Application) Appeal Communication to Board of Appeals and Interferences Drawing(s) Fee Attached Appeal Communication to Group Licensing-related Papers (Appeal Natice, Brief, Reply Brief) Amendment / Response Petition Routing Silp (PTO/SB/69) Proprietary Information After Final and Accompanying Petition Petition to Convert to a Status Letter Provisional Application Affidavits/declaration(s) Power of Attorney, Revocation Other Enclosure(s) Change of Correspondence Address (please identify below): Extension of Time Request Request for corrected filing receipt Terminal Disdaimer Express Abandonment Request Marked-up copy of filing receipt showing Request for Refund correction CD, Number of CD(s) Information Disclosure Statement The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1,52 or 1,53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Reg. No. 37,505 Joe Liebeschuetz Individual name Signature January 23, 2002 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 872-9305 on January 23, 2002. Paula Faulk Hurley Typed or printed name Date January 23, 2002 8ignature

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NO.851

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Attorney Docket No.: 018547-019420US Client Ref. No.: 3014.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID J. LOCKHART et al.

Application No.: 09/880,727

Filed: June 13, 2001

For: NUCLEIC ACID ANALYSIS

TECHNIQUES

Examiner:

S.W. Houtteman

Art Unit:

1655

REQUEST FOR CORRECTED FILING

RECEIPT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the name of the fourth inventor is inverted and does not match that of the Application Data Sheet submitted with the application and should read as follows:

Chaoqiang LAI

The correction is not due to any error by applicant and no fee is due. The Commissioner is hereby authorized to charge any additional fees associated with this paper or

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during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430.

Respectfully submitted,

Joe Liebeschuetz Reg. No. 37,505

Customer No. 20350

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IND CLAIMS GRP ART UNIT | FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAMS FILING DATE APPLICATION NUMBER 018547-5 20 47 0.00 06/13/2001 1656 01942US 09/880,727

CONFIRMATION N.D. 6111

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834

FILING RECEIPT *OC000000007288478*

Date Mailed: 01/14/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please varify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center, Please provide a copy of this Filing Receipt with the changes noted thereon, if you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USFTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

.... David J. Lockhart, Santa Ciara, CA; Mark Chee, Palo Alto, CA: Kevin Gunderson, Palo Alto, CA; Chaogiang LAI ei Chaoqiang, Santa Clara, CA; Lisa Wodicka, Santa Clara, CA; Maureen T. Cronîn, Los Aitos, CA; Danny H. Lee, San Jose, CA; Huu M. Tran, San Jose, CA; Hajime Matsuzaki, Palo Alto, CA: Glenn H. McGall, Mt. View, CA; Anthony D. Barone, San Jose, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/882,849 06/25/1997 WHICH IS A CON OF PCT/US97/01603 01/22/1997 WHICH CLAIMS BENEFIT OF 60/010,471 01/23/1996 AND CLAIMS BENEFIT OF 60/035,170 01/09/1997

Foreign Applications

if Required, Foreign Filing License Granted 01/03/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Nucleic acid analysis techniques

Preliminary Class

435

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